

## Field Extensions

Def. A field  $E$  is an **extension field** of a field  $F$  if  $F$  is a subfield of  $E$  ( $F \leq E$ ).

Ex.  $\mathbb{R}$  is an extension field of  $\mathbb{Q}$  and  $\mathbb{C}$  is an extension field of  $\mathbb{R}$  and  $\mathbb{Q}$ .

Kronecker's Theorem: Let  $F$  be a field and let  $g(x)$  be a nonconstant polynomial in  $F[x]$ . Then there exists an extension field  $E$  of  $F$  and an  $\alpha \in E$  such that  $g(\alpha) = 0$ .

Ex. Let  $F = \mathbb{R}$  and let  $g(x) = x^2 + 1$ .  $g(x)$  has no zeros in  $\mathbb{R}$  and thus is irreducible over  $\mathbb{R}$ .  $\langle x^2 + 1 \rangle$  is a maximal ideal in  $\mathbb{R}[x]$  so  $\mathbb{R}[x]/\langle x^2 + 1 \rangle$  is a field.

We can view  $\mathbb{R}$  as a subfield of  $\mathbb{R}[x]/\langle x^2 + 1 \rangle$  through the mapping:

$$\varphi: \mathbb{R} \rightarrow \mathbb{R}[x]/\langle x^2 + 1 \rangle \text{ by } \varphi(t) = t + \langle x^2 + 1 \rangle, t \in \mathbb{R}.$$

$$\text{Let } \alpha = x + \langle x^2 + 1 \rangle \in \mathbb{R}[x]/\langle x^2 + 1 \rangle,$$

$$\begin{aligned} \text{then } \alpha^2 + 1 &= (x + \langle x^2 + 1 \rangle)^2 + (1 + \langle x^2 + 1 \rangle) \\ &= (x^2 + 1) + \langle x^2 + 1 \rangle \\ &= 0. \end{aligned}$$

Thus  $\alpha$  is a zero of  $x^2 + 1$ . So we can think of  $\mathbb{R}[x]/\langle x^2 + 1 \rangle$  as an extension field of  $\mathbb{R}$ , which has an element  $\alpha$  where  $\alpha^2 + 1 = 0$ .

Ex. Let  $F = \mathbb{Q}$  and consider  $f(x) = x^4 - 7x^2 + 10$ .

In  $\mathbb{Q}[x]$ ,  $f(x) = (x^2 - 2)(x^2 - 5)$ , where  $x^2 - 2$  and  $x^2 - 5$  are irreducible over  $\mathbb{Q}$ .

We can construct a field  $\mathbb{Q}[x]/\langle x^2 - 2 \rangle$ , which can be thought of as an extension field of  $\mathbb{Q}$ , which has an element  $\alpha$  such that  $\alpha^2 - 2 = 0$  (just let  $\alpha = x + \langle x^2 - 2 \rangle$ ).

We can also construct an extension field of  $\mathbb{Q}$ ,  $\mathbb{Q}[x]/\langle x^2 - 5 \rangle$ , which has an element  $\alpha$  such that  $\alpha^2 - 5 = 0$ .

Def. An element  $\alpha$  of an extension field  $E$  of a field  $F$  is **algebraic** over  $F$  if  $f(\alpha) = 0$  for some  $f(x) \in F[x]$ . If  $\alpha$  is not algebraic over  $F$ , then  $\alpha$  is **transcendental** over  $F$ .

Ex.  $\mathbb{C}$  is an extension field of  $\mathbb{Q}$ . Since  $\sqrt{3}$  is a zero of  $x^2 - 3$ ,  $\sqrt{3}$  is an algebraic element over  $\mathbb{Q}$ . Since  $i$  is a zero of  $x^2 + 1$ ,  $i$  is also algebraic over  $\mathbb{Q}$ .

Ex. Although it's not that easy to prove,  $\pi$  and  $e$  are transcendental numbers over  $\mathbb{Q}$ .

Ex. Notice that  $\pi$  and  $e$  are transcendental over  $\mathbb{Q}$  because there is no polynomial with coefficients in  $\mathbb{Q}$  (or  $\mathbb{Z}$ ) such that  $\pi$  or  $e$  is a solution to:

$$a_n x^n + a_{n-1} x^{n-1} + \dots + a_0 = 0; \quad a_i \in \mathbb{Q} \text{ for all } i = 1, \dots, n.$$

However,  $\pi$  and  $e$  are algebraic over  $\mathbb{R}$  because  $\pi$  is a root of  $x - \pi = 0$  and  $e$  is a root of  $x - e = 0$ .

So whether a number is algebraic or transcendental can depend on which field you are taking it over.

Ex. Show  $\sqrt{1 + \sqrt{7}}$  is algebraic over  $\mathbb{Q}$ .

Let  $\alpha = \sqrt{1 + \sqrt{7}}$  then:

$$\alpha^2 = 1 + \sqrt{7}$$

$$\alpha^2 - 1 = \sqrt{7}$$

$$(\alpha^2 - 1)^2 = 7$$

$$\alpha^4 - 2\alpha^2 + 1 = 7 \text{ or } \alpha^4 - 2\alpha^2 - 6 = 0.$$

So  $\alpha$  is a zero of  $x^4 - 2x^2 - 6 = 0$  in  $\mathbb{Q}[x]$  and  $\alpha$  is algebraic over  $\mathbb{Q}$ .

**Theorem:** Let  $E$  be an extension field of  $F$ , and  $\alpha \in E$ , with  $\alpha$  algebraic over  $F$ . Then there is an irreducible polynomial  $f(x) \in F[x]$  such that  $f(\alpha) = 0$ .  $f(x)$  is uniquely determined up to a constant factor in  $F$  and is a polynomial of minimal degree  $\geq 1$  in  $F[x]$  having  $\alpha$  as a zero. If  $g(\alpha) = 0$  for  $g(x) \in F[x]$ , with  $g(x) \neq 0$ , then  $f(x)$  divides  $g(x)$ .

Ex.  $x^2 - 2 = 0$ ,  $3x^2 - 6 = 0$ , and  $x^3 - 2x = 0$  all have  $\sqrt{2}$  as a zero.

Notice that  $3x^2 - 6 = 3(x^2 - 2)$  and  $x^3 - 2x = x(x^2 - 2)$ .

$x^2 - 2$  and  $3x^2 - 6$  are irreducible in  $\mathbb{Q}[x]$  where  $x^3 - 2x$  is not.

Def. Let  $E$  be an extension field of a field  $F$ , and let  $\alpha \in E$  be algebraic over  $F$ .

The unique **monic** polynomial (coefficient of the highest power is 1)  $p(x)$ , where  $p(\alpha) = 0$  and  $p(x)$  is irreducible over  $F$ , is the irreducible polynomial for  $\alpha$  over  $F$  and will be denoted ***irr***( $\alpha, F$ ). The degree of ***irr***( $\alpha, F$ ) is the degree of  $\alpha$  over  $F$ , denoted by ***deg***( $\alpha, F$ ).

Ex. We saw that  $\alpha = \sqrt{1 + \sqrt{7}}$  is a zero of  $x^4 - 2x^2 - 6$  in  $\mathbb{Q}[x]$ .

$x^4 - 2x^2 - 6$  is irreducible over  $\mathbb{Q}$  by Eisenstein's criterion with  $p = 2$  since:

$$\begin{aligned} a_n = 1 &\not\equiv 0 \pmod{2}, & -2 &\equiv 0 \pmod{2} \\ -6 &\equiv 0 \pmod{2} & \text{and} & -6 &\not\equiv 0 \pmod{2^2}. \end{aligned}$$

The leading coefficient is 1 so ***irr***( $\sqrt{1 + \sqrt{7}}, \mathbb{Q}$ ) =  $x^4 - 2x^2 - 6$ , and ***deg***( $(\sqrt{1 + \sqrt{7}}), \mathbb{Q}$ ) = 4.

Ex. When we talk about the degree of an algebraic number, we must specify which field we are talking about. For example, for  $\alpha = \sqrt{3}$ :

$$\begin{aligned} \text{irr}(\sqrt{3}, \mathbb{Q}) &= x^2 - 3 \quad \text{so} \quad \text{deg}(\sqrt{3}, \mathbb{Q}) = 2, \\ \text{but } \text{irr}(\sqrt{3}, \mathbb{R}) &= x - \sqrt{3} \quad \text{so} \quad \text{deg}(\sqrt{3}, \mathbb{R}) = 1. \end{aligned}$$

Ex. Find  $\text{irr}(\alpha, \mathbb{Q})$  and  $\text{deg}(\alpha, \mathbb{Q})$  for  $\alpha = \sqrt{3+i}$ .

$$\alpha^2 = 3 + i$$

$$\alpha^2 - 3 = i$$

$$(\alpha^2 - 3)^2 = i^2 = -1$$

$$\alpha^4 - 6\alpha^2 + 9 = -1$$

$$\alpha^4 - 6\alpha^2 + 10 = 0.$$

So  $\alpha$  satisfies  $x^4 - 6x^2 + 10 = 0$ .

$x^4 - 6x^2 + 10 = 0$  is irreducible over  $\mathbb{Q}$  by Eisenstein's criterion with  $p = 2$  since:  $a_4 = 1 \not\equiv 0 \pmod{2}$ ,  $-6 \equiv 0 \pmod{2}$ , and  $10 \equiv 0 \pmod{2}$ , But  $10 \not\equiv 0 \pmod{2^2}$ . So:

$$\text{irr}(\alpha, \mathbb{Q}) = x^4 - 6x^2 + 10, \quad \text{deg}(\alpha, \mathbb{Q}) = 4.$$

Def. Suppose  $\alpha$  is algebraic over  $F$  then  $\langle \text{irr}(\alpha, F) \rangle$  is a maximal ideal of  $F[x]$ . Therefore,  $F[x]/\langle \text{irr}(\alpha, F) \rangle$  is a field and is isomorphic to the image  $\phi_\alpha[F[x]]$ , where  $\phi_\alpha$  is the evaluation homomorphism. We call this field  $\mathbf{F}(\alpha)$ .

Def. An extension field  $E$  of a field  $F$  is a **simple extension** of  $F$  if  $E = F(\alpha)$  for some  $\alpha \in E$ .

Theorem: Let  $E$  be a simple extension  $F(\alpha)$  of a field  $F$ , and let  $\alpha$  be algebraic over  $F$ . Let the degree of  $\text{irr}(\alpha, F)$  be  $n \geq 1$ . Then every element  $\gamma$  of  $E = F(\alpha)$  can be uniquely expressed in the form:

$$\gamma = c_0 + c_1\alpha + \cdots + c_{n-1}\alpha^{n-1} \text{ where } c_i \text{ are in } F.$$

Ex.  $f(x) = x^2 + x + 1$  in  $\mathbb{Z}_2[x]$  is irreducible over  $\mathbb{Z}_2$  because it is degree 2 and has no zero in  $\mathbb{Z}_2$  since:

$$f(0) = 1 \text{ and } f(1) \equiv 1 \pmod{2}.$$

By Kronecker's Theorem there exists an extension field  $E$  on  $\mathbb{Z}_2$ , which has a zero of  $x^2 + x + 1$ . By our previous theorem, elements of  $E = \mathbb{Z}_2(\alpha)$  are of the form:

$$a_1\alpha + a_0 \text{ where } a_0, a_1 \in \mathbb{Z}_2.$$

So the elements of  $E = \mathbb{Z}_2(\alpha)$  are:

$$0 + 0\alpha = 0, \quad 1 + 0\alpha = 1, \quad 0 + 1\alpha = \alpha, \quad \text{and } 1 + \alpha.$$

Thus  $E = \mathbb{Z}_2(\alpha)$  is a finite field with 4 elements.

How do we add or multiply these elements? We need to use the fact that  $\alpha^2 + \alpha + 1 = 0$  to do this. In  $\mathbb{Z}_2$  we have:

$$\alpha^2 = -\alpha - 1 = \alpha + 1.$$

So, for example, if we want to multiply:

$$(\alpha)(1 + \alpha) = \alpha + \alpha^2 = \alpha + \alpha + 1 = 1.$$

So let's fill in the addition and multiplication tables for  $\mathbb{Z}_2(\alpha)$ :

+	0	1	$\alpha$	$1 + \alpha$	.	0	1	$\alpha$	$1 + \alpha$
0	0	1	$\alpha$	$1 + \alpha$	0	0	0	0	0
1	1	0	$1 + \alpha$	$\alpha$	1	0	1	$\alpha$	$1 + \alpha$
$\alpha$	$\alpha$	$1 + \alpha$	0	1	$\alpha$	0	$\alpha$	$1 + \alpha$	1
$1 + \alpha$	$1 + \alpha$	$\alpha$	1	0	$1 + \alpha$	0	$1 + \alpha$	1	$\alpha$

Finally, let's show that  $\mathbb{R}[x]/\langle x^2 + 1 \rangle \cong \mathbb{C}$ :

$\mathbb{R}(\alpha) = \mathbb{R}[x]/\langle x^2 + 1 \rangle$  where elements of  $\mathbb{R}(\alpha)$  are of the form:  
 $a_0 + a_1\alpha$ ;  $a_0, a_1 \in \mathbb{R}$  where  $\alpha^2 = -1$ .

We usually call  $\alpha$ ,  $i = \sqrt{-1}$ .

So we have:

$$\begin{aligned} \mathbb{R}(\alpha) &= \mathbb{R}[x]/\langle x^2 + 1 \rangle = \{a_0 + a_1\alpha \mid a_0, a_1 \in \mathbb{R}, \alpha^2 = -1\} \\ &\cong \{a + bi \mid a, b \in \mathbb{R}, i = \sqrt{-1}\} = \mathbb{C}. \end{aligned}$$